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Application Number		10/644,875
Filing Date		August 21, 2003
First Named Inventor		Ying-Fei Wei, et al.
Group/Art Unit		1647
Examiner Name		Marianne Allen
Total Number of Pages in This Submission	Attorney Docket Number 39766-0151 D2	

ENCLOSURES (check all that apply)

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<input type="checkbox"/> Version With Markings Showing Changes	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> ADDITIONAL ENCLOSURE(S) (PLEASE IDENTIFY BELOW):
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<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT 08-1641 FOR ANY FEES DUE IN CONNECTION WITH THIS PAPER, REFERENCING ATTORNEY'S DOCKET NO. 39766-0151 D2	
<input type="checkbox"/> Copy of Notice		

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual name	HELLER EHRMAN LLP			LESLIE A. MOOI (Reg. No. 37,047)	
	275 Middlefield Road, Menlo Park, California 94025			Telephone: (650) 324-7000	Facsimile: (650) 324-0638
Signature					
Date	October 19, 2006	Customer Number:	25213		

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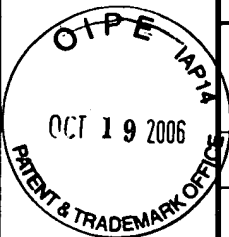
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Signature		Date	October 19, 2006

PATENT

Attorney Docket No.: 39766-0151 D2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Inventor(s): Ying-Fei Wei, et al.

Application No.: 10/644,875

Filed: August 21, 2003

Title: TRANSFORMING GROWTH FACTOR
ALPHA III

Art Unit: 1647

Examiner: Marianne Allen

INFORMATION DISCLOSURE STATEMENT

UNDER 37 C.F.R. §1.97

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Sir:

Listed below or on an attached Form PTO-1449 is information known to applicant(s). A copy is provided of each foreign patent, each cited pending unpublished U.S. application, and all additional publications other than U.S. patents and U.S. patent application publications. 37 C.F.R. §§1.97-1.98.

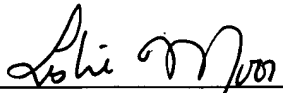
Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

- ☐ This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):
- ☐ (1) It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)
-- OR --
 - ☐ (2) It is being filed within 3 months of entry of a national stage
-- OR --
 - ☐ (3) It is being filed before the mail date of the first Office Action on the merits
-- OR --
 - ☐ (4) It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.
- ☒ 37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:
- ☐ a certification as specified in §1.97(e) is provided below; or
 - ☒ a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☐ 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:
- A. a certification as specified in §1.97(e) is completed below; and
 - B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; **and**
 - C. a fee of \$130.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☒ **Fee Authorization.** The Commissioner is hereby authorized to charge the above-referenced fees and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 08-1641 (Docket No.: 39766-0151 D2).

Respectfully submitted,

HELLER EHRMAN LLP

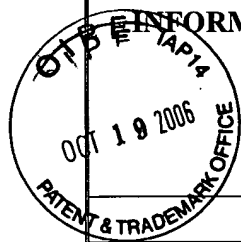
By: 

LESLIE A. MOOI

Reg. No.: 37,047

Dated: October 19, 2006

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INFORMATION DISCLOSURE STATEMENT PTO-1449		ATTY. DOCKET NO. 39766-0151 D2		SERIAL NO. 10/644,875			
		APPLICANT: Ying-Fei Wei, et al.					
		FILING DATE: 08/23/2003		GROUP: 1647			
U.S. PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
FOREIGN PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 02/16429 A2	02/28/2002	EP			<input checked="" type="checkbox"/>	<input type="checkbox"/>
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
Lumadue, J.A. et al., "Cloning Sequence Analysis and Expression of the Large Subunit of the Human Lymphocyte Activation Antigen 4F2", Proc. Natl. Acad. Sci. USA, Vol. 84, pp. 9204-9208 (1987)							
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B. Lewin (Editor), Genes IV, 1990 p. 812							
EXAMINER				DATE CONSIDERED			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.